IMPORTANT FINANCIAL DOCUMENTS CHECKLIST

Below is a list of the types of documents you will need to utilize to help complete the Client Data Organizer and to help facilitate a productive meeting. These documents, along with the Client Data Organizer will be used to tailor a financial plan to meet your life goals.

Retirement Planning Documents
□ Recent IRA, 401(k), 403(b), Keogh statements
□ Employee benefits program
□ Deferred compensation and stock option agreements
□ Pension and profit sharing statements

Tax Planning Documents
□ Tax returns for last year
□ W-2 and a recent pay stub
□ Estimated taxes

Financial Documents
□ Savings account statements
□ Mutual fund statements
□ Brokerage account statements
□ Investment documents
□ Loan documents
□ List of stocks held outside of brokerages
□ Partnership agreements

Asset Protection Documents
□ Life insurance policies and statements
□ Medical, homeowners and auto insurance policies and statements
□ Disability, umbrella, and long term care insurance policies
□ Annuity policies and statements

Estate Planning Documents
□ Summary of your will, living will, durable powers of attorney and health care powers
□ Living trusts